DEVELOPMENT OF A CONVOLUTIONAL NEURAL NETWORK (CNN) IMAGE DETECTION MODEL TO IDENTIFY THE TROPHIC STATUS OF LAKES

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ABSTRACT

The eutrophication of lakes is a major concern in Bangladesh since it is largely responsible for water quality degradation in lakes throughout the country. As per previous research, lake trophic status may be identified using different methods. This study was conducted to seek a new method for determining trophic status across Dhaka City. A total of 110 lake water samples were collected from Banani, Gulshan, Hatirjheel, Ramna, Dhanmondi, Uttara, and Dhaka Cantonment Lakes. Lake surface images were captured at different angles, as part of the sampling process. The samples were tested for nitrate, phosphate, and chlorophyll-a concentration. These parameters were used to compute a trophic state index for each sample. The results indicated that 55% of the lake samples were hypereutrophic, 25% were eutrophic, 11% were mesotrophic, and only 9% were oligotrophic. The indices were used to label the corresponding lake images into the four categories. The images were divided into a training batch and a test batch. A Convolutional Neural Network (CNN) image detection model was designed on a Kaggle platform using Python and fed the images from the training batch. After the training, the model was tested using the other batch of images and displayed an overall accuracy of 79.4% in identifying the trophic states of the lake samples based on their images. The image detection method thus demonstrated higher efficiency than manual computation methods of identifying trophic status.

Keywords: *Trophic Status, Neural Network, Image Detection, Classification*

1. INTRODUCTION

Eutrophication is the enrichment of nutrients in water bodies, primarily phosphorus and nitrogen, which causes excessive algal growth. Plant growth in abundance disrupts the balance of organisms (structural and functional changes, decrease in biodiversity, increased risk of invasions, fish kills, and much more) as well as the quality of water (cyanobacterial blooms, depletion of oxygen, the liberation of corrosive gases, and toxins, etc.).

Einar Naumann, a Swedish limnologist at the University of Lund, Sweden in 1919, first introduced the concept of the trophic status of lakes. Trophic states are generally defined per his classification system. Naumann viewed the classification as an "artificial outgrowth of a biological reality" (Naumann, 1919). Phosphorus, nitrate, chlorophyll, dissolved oxygen, and water clarity are the most significant parameters used to assess the trophic status of surface waters (El-Serehy et al., 2018). There were a variety of methods used across the world to measure the quality of water bodies, such as rivers and lakes. In 1965, the water quality index (WQI) was established in the United States and was extensively used and approved in Europe, Africa, and Asia (Horton, 1965). Robert E. Carlson established the trophic state index (TSI) as a numerical classification of lake trophic status (Carlson, 1977). The trophic level index (TLI) was developed by modifying Carlson's TSI (Burns et al., 2009; Wojtkowska and Bojanowski, 2021).

Although lakes transition from oligotrophic to eutrophic, the transitions do not take place at clearly defined points. The changes do not occur at the same rate or in the same place. Carlson proposed that the Trophic State Index (TSI) value, which may be categorized into four main classes: oligotrophic, mesotrophic, eutrophic, and hypereutrophic, be used to characterize the trophic state of a water body. As a result, trophic status could not be determined by looking at only one or two variables. Multiparameter indices may have developed due to such reasoning (Brezonik and Shannon, 1971; Michalski and Conroy, 1972). The number of parameters to be measured limits the effectiveness of a multiparameter index. Indices relying on one criterion can be transparent and more responsive to changes. However, there is no agreement on what the sole criterion of trophic status should be.

Landsat satellite imagery has been effectively used to estimate a Trophic State Index (eTSI) based on Secchi disk transparency (SDT) measurements for inland lakes in several areas before, such as Minnesota (Olmanson et al., 2007), Wisconsin (Chipman et al., 2004), Michigan (Fuller et al., 2004) and so on.

Machine Learning is a subfield of artificial intelligence that focuses on a particular goal: instructing computers to accomplish tasks without explicit programming (Samuel, 1959). Deep learning models have been carefully patterned after the human brain. So, they provide a more sophisticated approach to machine learning and are geared to tackle issues that machine learning cannot. Data is transmitted between layers of interconnected neurons known as "deep neural networks." As a result, the data undergoes a transformation that is not linear and becomes progressively abstract (Middleton, 2021). Convolutional Neural Networks are a subset of deep learning. They consist of a stack of layers that takes in an input image, perform a mathematical operation, and predict the class or label probabilities at the output. Instead of standard manual feature extraction methods, CNN performs feature extraction automatically by trial and error.

In a reservoir in central Taiwan, a back-propagation neural network was utilized to link the significant parameters that influence a variety of water quality indicators such as dissolved oxygen (D.O.), total phosphorus (T.P.), chlorophyll-a (Chl-a), and Secchi disk depth (S.D.). The study's findings revealed that the neural network could predict these indications with a reasonable degree of accuracy, implying that a neural network is a valuable tool for reservoir management (Kuo et al., 2006). A new back propagation artificial neural network model for evaluating eutrophication in China's eastern provinces was built, and a new training method was developed. The model was tested on four eastern lakes, with encouraging results, indicating the method was appropriate for assessing the eutrophication of lakes (JIANG et al., 2006).

Neural networks have long been applied to identify and assess water quality parameters, including eutrophication. These methods mainly involved parameter modelling, such as chlorophyll-a, total phosphate, and Secchi depth. Convolutional neural networks (CNN) specialize in image processing and detection. The prime objective of this study was to build a multiclass CNN model for image detection of lakes. The study also aims to identify the difference in trophic states of lakes located in different areas of Dhaka City.

2. METHODOLOGY

This research involved collecting water samples from different lakes to identify their trophic states to aid the development of an image detection model using convolutional neural networks (CNN). The following sections briefly summarise the research scheme, tests conducted, and procedure followed in this study.

2.1 Study Area and Sample Collection

A total of 110 water samples were collected from the lakes in the following locations: Gulshan, Banani, Hatirjheel, Dhanmondi, Ramna, Uttara, Nirjhor, Shaheed Sharani Lake and BUP Lake at Mirpur Cantonment.

Generally, sample collection was done from the banks of the lakes. Where possible, boats were used to collect samples from different points along a lake. This process ensures thorough lake coverage since multiple point sources discharge into one lake. The bottles were sealed with caps and labelled immediately after collection.

Images of the lake's surface were captured at each point of sample collection using a high-resolution camera. Multiple images were taken for every sample from different angles since a large number of images are required to create a dataset that can be used to train a CNN model.

2.2 Governing Equations

The samples were tested for total nitrate, total phosphate and chlorophyll-a concentrations. Trophic state index calculations were performed based on a water quality assessment report from the Florida Department of Environmental Protection (Paulic et al., 1996). First, it was determined whether the lakes were "phosphorous limited" or "nitrogen limited" lakes, using the ratio of Total Nitrogen to Total Phosphorous.

Table 1: Type of Lake Based on the Ratio of Nitrogen and Phosphorous

Nutrient Ratio	Lake Type
TN/TP < 10	Nitrogen Limited Lake
10 < TN/TP < 30	Nutrient Balanced Lake
TN/TP > 30	Phosphorous Limited Lake

Based on the test results for this study, the lakes were Nitrogen Limited Lakes, i.e., TN/TP <10, as denoted in Table 1. Since nitrogen was the limiting nutrient, the nitrogen trophic state index was calculated using equation (1). Thus, no phosphorous index was required. Equation 2 would be used for the phosphorous trophic state index if the limiting nutrient had been phosphorous.

$$TSI\,(TN) = 10 * [\,2.36\,ln\,(\,TP * 1000\,) - 2.38\,] \qquad (1)$$

$$TSI\,(TP) = 10 * [\,5.96 + 2.15\,ln\,(\,TN + 0.001)\,] \qquad (2)$$

Next, another index was calculated using the chlorophyll-a concentration, as per equation 3.

$$TSI\ (Chl-a)\ -\ 16.8+[\ 14.4\ *\ ln\ (Chl-a)\ ]$$ (3)

Thus, the limiting nutrient concentration was used for one index and the chlorophyll-a concentration for another. The average of these two indices was then taken as the final trophic state index.

$$TSI\ (average) = \frac{TSI\ (TN)+TSI\ (Chl-a)}{2}$$ (4)

Next, the sample images were sorted into four classes based on their TSI value: Oligotrophic, Mesotrophic, Eutrophic, and Hypereutrophic, as shown in Table 2 (Carlson and Simpson, 1996).

Table 2: Trophic State Classification

Carlson TSI	Lake Trophic State
<30- 40	Oligotrophic
40-50	Mesotrophic
50-70	Eutrophic
> 70	Hypertrophic

2.3 Image Dataset Preparation and CNN Modelling

The lake images captured were transferred to a computer and processed to adjust the dimensions, light, and shadow and to crop out parts that may cause interference during the image detection process. The processed images were sorted into a group of folders. Around 20% of the images (160) were kept aside for testing. The remaining 80% (700) were used for training the CNN model. The images were uploaded to the "Kaggle" database online and the convolutional neural network model was designed using Python on a Kaggle Notebook.

A total of 860 images have been used for training the model, with a batch size of 16. Thus, 16 images were processed at a time. The number of iterations set to be performed on the whole training dataset was 30. However, if five iterations produced the same result, the process was automatically terminated using Keras's "Early Stopping" function. After the convolutional layer processing, 10% of the extracted features were discarded randomly to eliminate unnecessary information. 20% was discarded after the deep layer processing. These were done using the built-in "Dropout" feature of the Keras Library for Python. Once the training was complete, the model was tested using images from the test batch to determine its accuracy. This time all 160 images were tested in one batch.

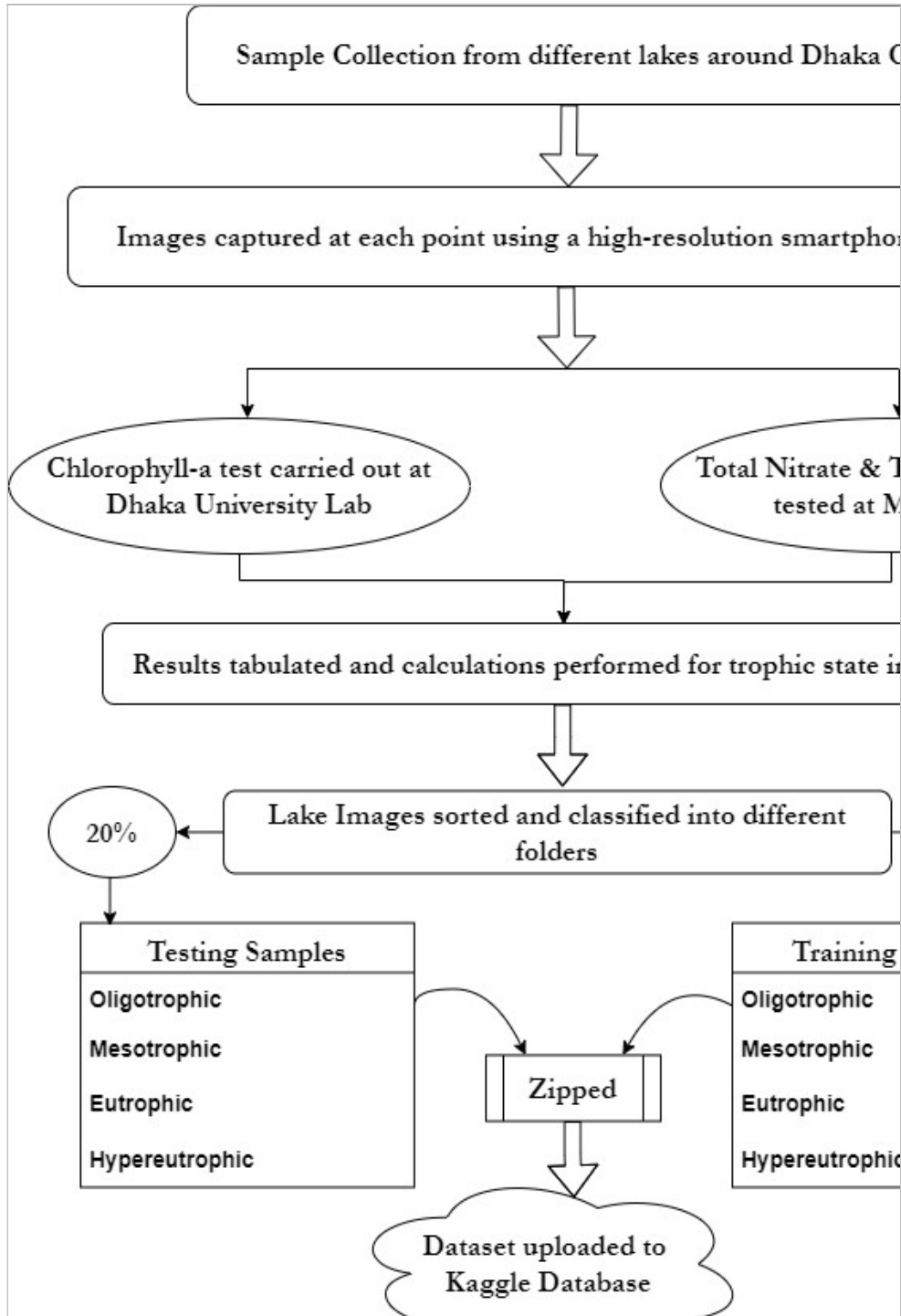The overall research methodology is illustrated in Figure 1.

Figure 1: Research Outline

3. RESULTS AND DISCUSSIONS

3.1 Findings from Laboratory Test

Figure 2 illustrates the proportion of samples found for each trophic state. Over half the lake water samples were hypereutrophic. One-fourth of the samples were eutrophic; a little over 10% were mesotrophic, while the oligotrophic portion was less than 10%.
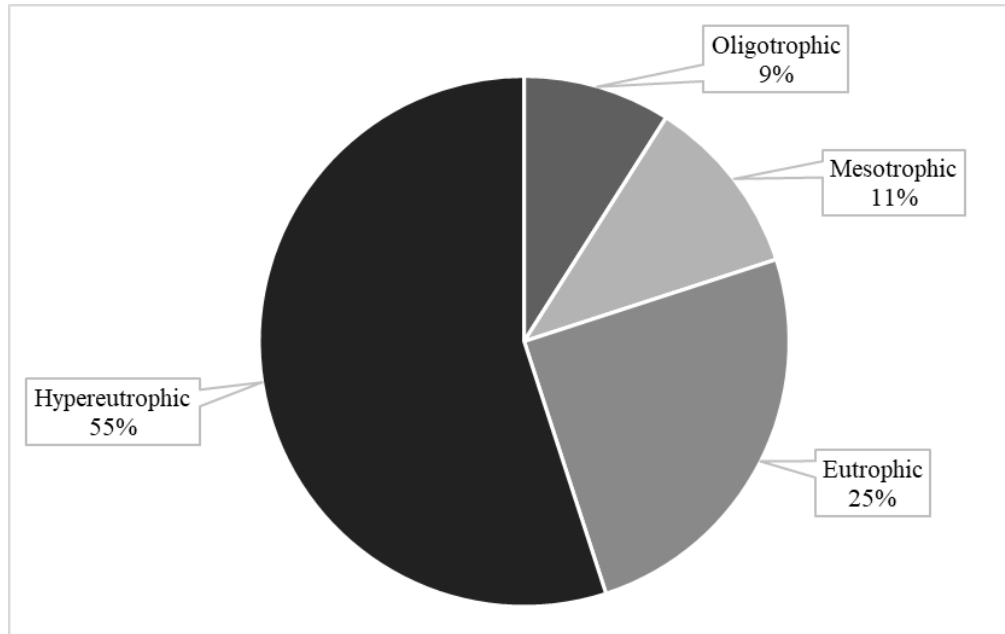
Figure 2: Trophic Status of the Selected Lakes

The highest concentration of each parameter found in the lakes is summarised in Figure 3 and Figure 4. The lakes of Dhaka Cantonment, namely Shaheed Sharani and Nirjhor Lakes, all have very high levels of total phosphate and nitrate. However, Shaheed Sharani Lake 1 has almost four times the amount of chlorophyll the other two lakes have, 183 µg/L as opposed to 46 µg/L. This difference is likely due to fish farming in the lake, which increases eutrophication. Lakes of Nirjhor and Shaheed Sharani 2 are not currently being used for fish farming. Dhaka Cantonment is a strictly restricted zone, and there is no uncontrolled or unplanned discharge in any of these lakes via point or non-point sources. The results indicate that these lakes range from eutrophic to hypertrophic zones.
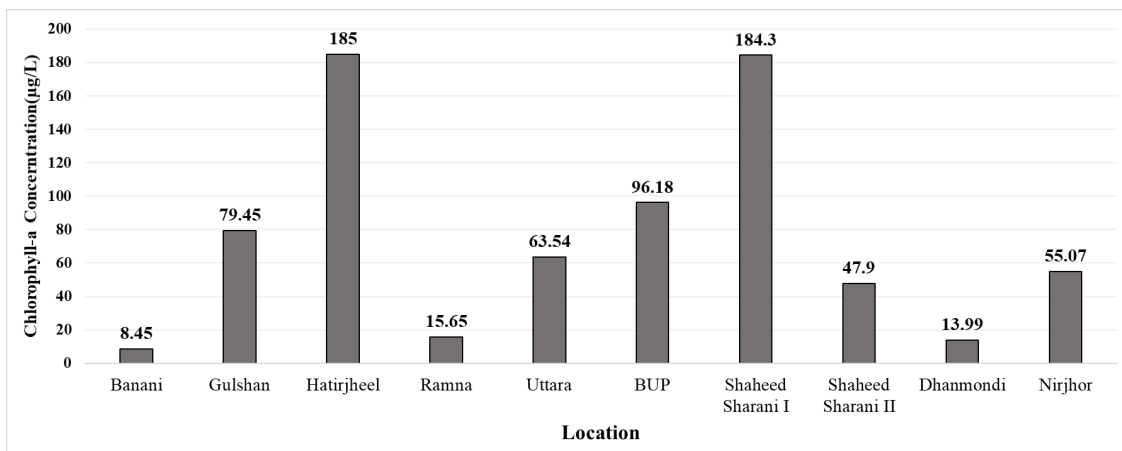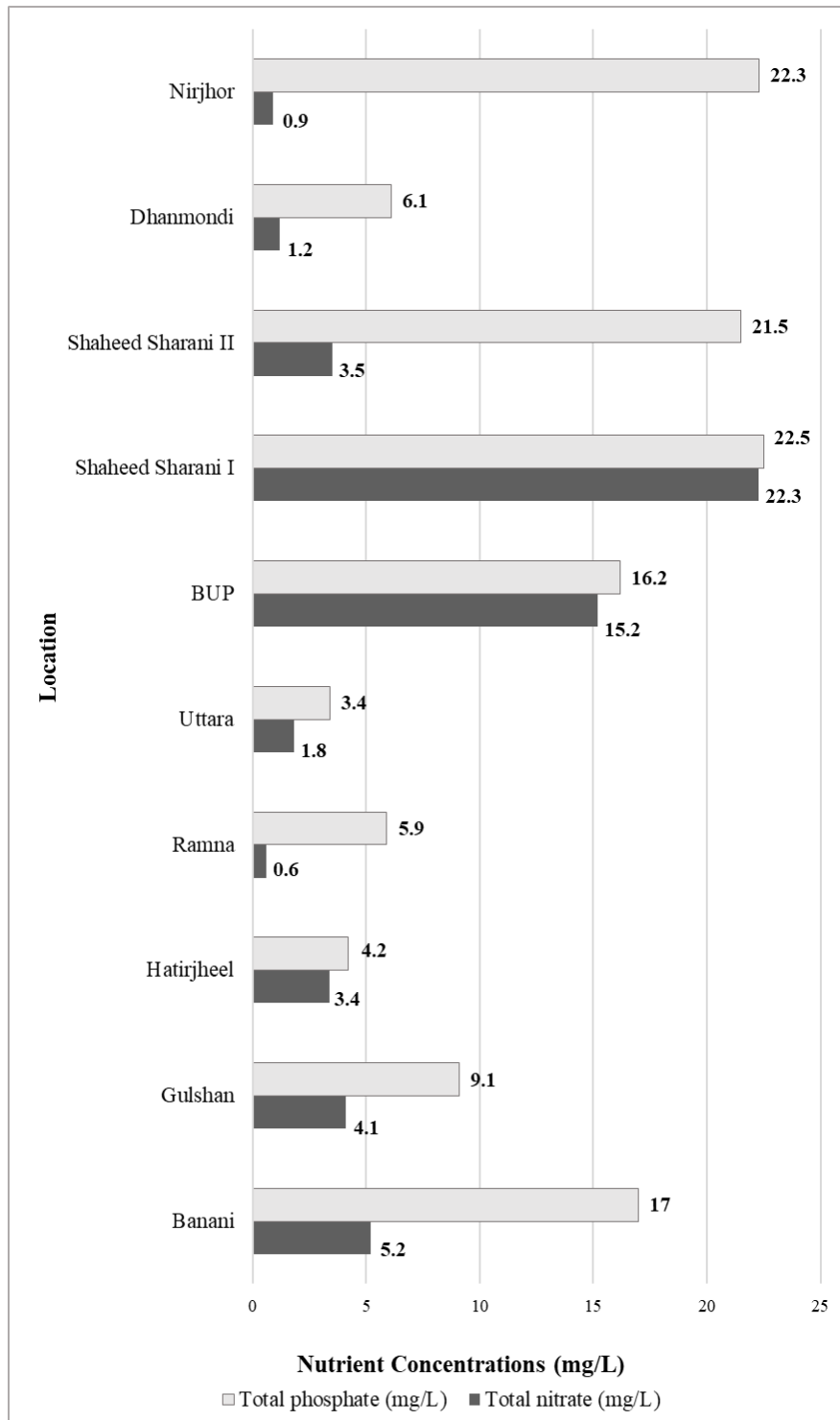

Figure 3: Highest Chlorophyll Levels in the Lakes

Figure 4: Highest Nutrient Levels in the Lakes

Banani, Gulshan, and Dhanmondi lakes have similar levels of nutrients. However, the chlorophyll level is significantly higher in Gulshan and Dhanmondi lakes, whereas the nutrient levels are greater for Banani lake. It has been observed that Banani lake has faecal matter floating all over the surface. This observation is supported by the fact that there is a sewerage line nearby discharging its waste into the lake. The sewage disposal could contribute to the high level of nitrates in the lake. These lakes range from a mid-mesotrophic to a eutrophic zone and are detected as such by the CNN model.

Hatirjheel, Ramna, and Uttara lakes have comparatively low levels of nutrients and chlorophyll. Therefore, these lakes range from the oligotrophic to the mesotrophic zone. Ramna and Uttara are relatively protected zones, with good maintenance and supervision by city authorities, which explains the lack of eutrophication in those lakes. However, Hatirjheel has always been under great public exposure. Even though the exposure pollutes Hatirjheel Lake, there is no point source discharging a significant amount of nutrients into the lake. At the same time, no fish farming takes place in these lakes.

3.2 Image Detection

Figure 5 illustrates the CNN Model running for individual images on the Kaggle Notebook after completing the training.
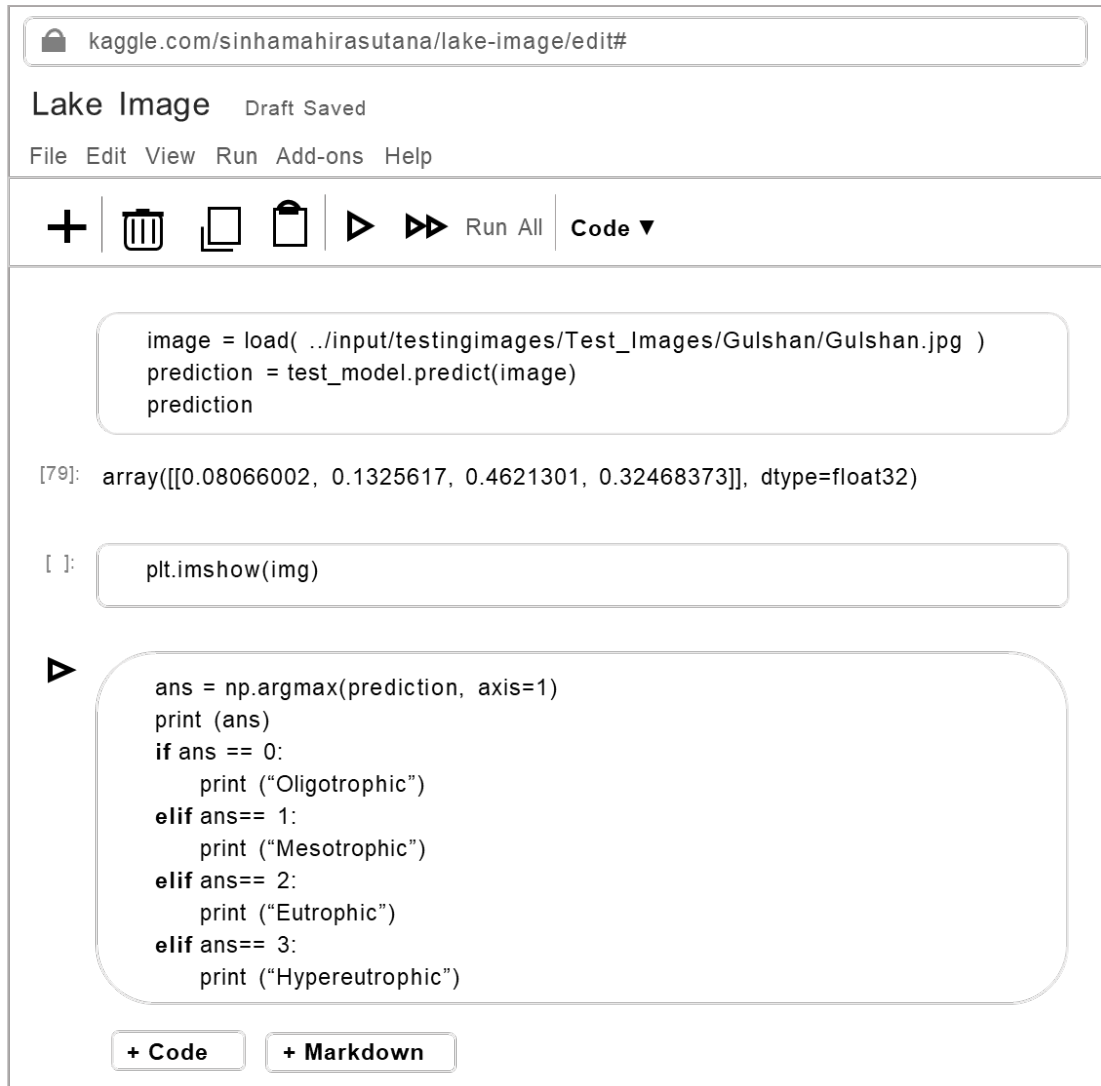

Figure 5: CNN Model Running on the Kaggle Notebook

Figure 6 and Figure 7 illustrate the CNN Model running for individual images on the Kaggle Notebook after completing the training.
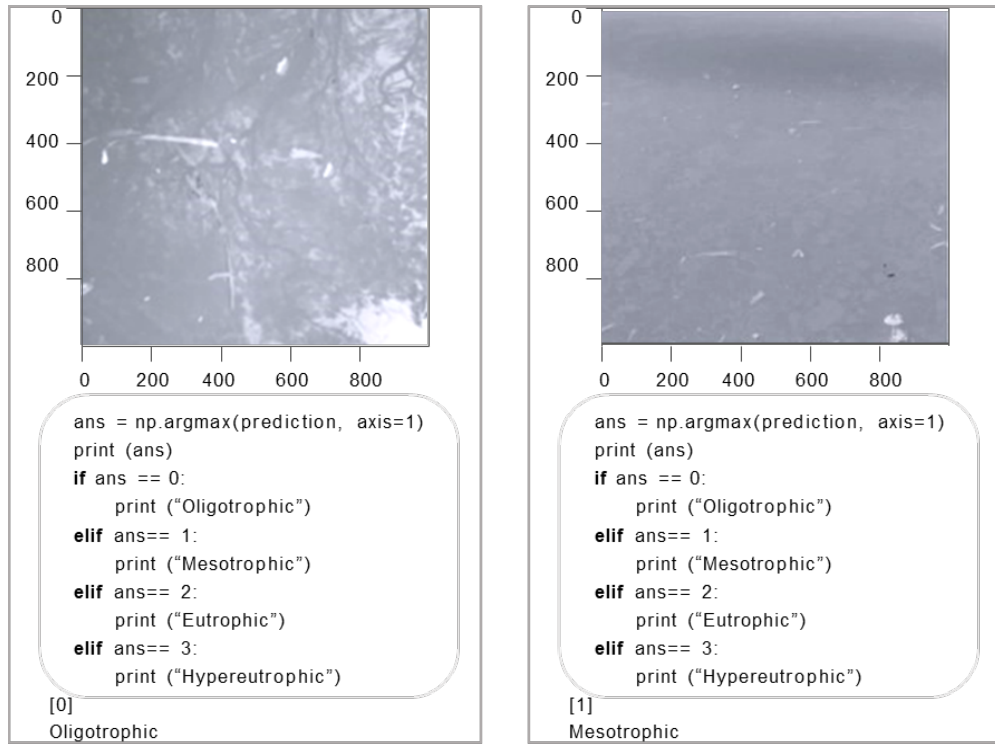
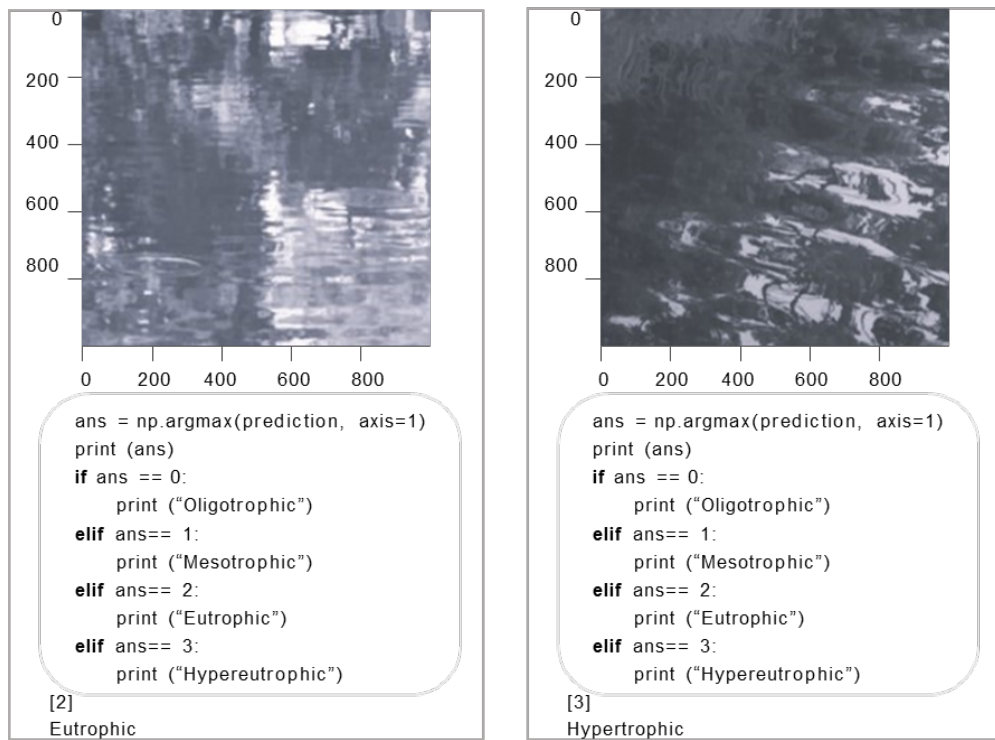Figure 6: Uttara and Ramna Lake Images Being Detected as Oligotrophic and Mesotrophic, Respectively.

Figure 7: Gulshan and Shaheed Sharani Lake Images Being Detected as Eutrophic and Hypereutrophic, Respectively.

The confusion matrix shows the number of images identified in each category, correctly or incorrectly, by the CNN model. This is illustrated in Figure 8. This matrix was generated using the 160 images in the test dataset to determine the model's accuracy. Out of the 40 oligotrophic test images, 31 were correctly identified. Eight were detected as mesotrophic and one as eutrophic. Similarly, 32 mesotrophic samples were detected correctly, while 2 were marked oligotrophic and 6 were marked eutrophic. For the eutrophic samples, only three were incorrectly labelled as mesotrophic. The remaining images were detected correctly. Finally, 27 hypereutrophic samples were correctly identified, while the other 13 were not. These results show a 79.4% accuracy for the model.

Figure 8: Confusion Matrix Showing Result of Image Detection.

4. CONCLUSIONS

In this study, a multiclass convolutional neural network model was designed to identify the trophic status of selected lakes. Water samples were tested for total nitrate, total phosphate, and chlorophyll-a. The results were used to divide the sample images into four categories to train and test the CNN model. As per this study, the lakes cannot be strictly identified into four categories. This is because the level of nutrients and chlorophyll vary significantly throughout each lake, owing to the different point sources discharging into the same lake. Thus, a trophic range may be defined for each lake.

The CNN model correctly detected most of the samples. However, it incorrectly identified some images, resulting in an overall accuracy of 79.4%. Thus, the identification of lake samples using CNN image detection has shown to be quicker and more efficient than testing all the parameters in the laboratory and performing calculations every time.

In Dhaka Cantonment, the Nirjhor and Shaheed Sharani lakes have a very high level of nutrients. Intensive fish farming may be responsible for such a condition. These lakes are in the eutrophic to the hypereutrophic range. Banani, Gulshan, and Dhanmondi lakes lie in the mid-mesotrophic to the eutrophic zone. Sewerage lines discharging into the lakes may be responsible for the high level of nitrates. Ramna, Hatirjheel, and Uttara have the lowest levels of chlorophyll-a and the lowest levels of nutrients. These lakes are in the oligotrophic to the mesotrophic zone.

The study has scope for improvement since trophic states do not have one fixed or standard classification system. They can be computed in many ways, per studies and experiments conducted by different researchers. The lake classification also depends on multiple parameters, which do not always have a consistent correlation. For determining trophic states, the index values calculated, or parameters tested are not always consistent with the colour of lakes. Based on the calculation, some

lakes may be identified as eutrophic, whereas the colour of the lake is very light green or bluish. This problem arises because eutrophication is not the only factor controlling the colour of lakes. Lake colour may vary for several other reasons.

A greater number of samples may be used to train the CNN model further to improve its accuracy. Trophic state index (TSI) values may be included in the coding, along with images, to train the CNN model. This approach could provide an approximate TSI value for each image, thus widening the model's scope.

ACKNOWLEDGEMENTS

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